

ANNUAL REPORT OF REPRESENTED ENTITY

NEW JERSEY ELECTION LAW ENFORCEMENT COMMISSION P.O. Box 185, Trenton, NJ 08625-0185 (609) 292-8700 or Toll Free Within NJ 1-888-313-ELEC (3532)

FORM L1-L RECEIVED

2011 FEB 14 P 3: 48

	N.J. ELECTION	
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	AW EPOR STATE USE ONLY	
	STORONIA POSE DIVEL	

	Website: www.elec.state.nj.us	, ,	- 'jjkkijo	Amendment
Name of F	Represented Entity Horizon Blue Cross Blu	e Shield of New Jerse	ey	
Business Address	Three Penn Plaza East, PP-16H			
Address				
City Nev	vark		State NJ	Zip Code 07105-2200
*(Area Co	de) Telephone Number 973-466-8753			
1. Provide	the following information regarding the Govern	nmental Affairs Agent(s)	employed by the Represe	nted Entity named above.
1. Name	John Leyman			
Registra	ation Number 863-11	Job Title Director , Go	overnment Affairs	
Busines	ss Address Three Penn Plaza East, PP-16H			
City N	ewark		State NJ	Zip Code <u>07105-2200</u>
*(Area	Code) Telephone Number 973-466-8753			
2. Name	John Rogers			
Registra	ation Number 863-22	Job Title Deputy Dire	ctor, Government Af	fairs
Busines	ss Address Three Penn Plaza East, PP-16H			
City N	ewark		State NJ	Zip Code 07105-2200
*(Area	Code) Telephone Number 973-466-8754			
3. Name	Nichole LoPresti			
Registra	ation Number 863-19	Job Title State Govern	nment Affairs Associa	ate
Busines	ss Address Three Penn Plaza East, PP-16H			
City N	ewark		State NJ	Zip Code 07105-2200
*(Area (Code) Telephone Number 973-466-8546			
4. Name	Stephanie Quinn			
Registra	ation Number 863-17	Job Title Federal Gov	ernment Affairs Asso	ciate
Busines	s Address Three Penn Plaza East, PP-16H			
City N	ewark		State NJ	Zip Code <u>07105-2200</u>
*(Area (Code) Telephone Number 202-250-1102			

1. Provide the following information regarding the Gover	nmental Affairs Agent(s) employed	by the Represent	ted Entity named above.
1. Name Linda Schwimmer			
Registration Number 863-23	Job Title Director, Horizon He	althcare Innov	vations .
Business Address Three Penn Plaza East, PP-16H			
City Newark		State NJ	Zip Code 07105-2200
*(Area Code) Telephone Number 973-466-8754			
2. Name David Perry			
Registration Number 863-15	Job Title SHBP/Sales Director		
Business Address Three Penn Plaza East, PP-16H			
City Newark		State NJ	Zip Code <u>07105-2200</u>
*(Area Code) Telephone Number 973-466-8754			
3. Name James Dalessio			
Registration Number 863-16	Job Title Controller NJ Health		
Business Address Three Penn Plaza East, PP-16H			
City Newark		State NJ	Zip Code 07105-2200
*(Area Code) Telephone Number 973-466-8754			
4. Name JoAnne Ryan			
Registration Number 863-13	Job Title Director, Actuarial		
Business Address Three Penn Plaza East, PP-16H			
City Newark		State NJ	Zip Code 07105-2200
*(Area Code) Telephone Number 973-466-8754			

1. Provide the following information regarding the Gover	nmental Affairs Agent(s) employed	by the Represen	ted Entity named above.
1. Name Joseph Manger			
Registration Number 863-12	Job Title Manager, Reg. Affair	s and Complia	ance
Business Address Three Penn Plaza East, PP-16H			
City Newark		State NJ	Zip Code 07105-2200
*(Area Code) Telephone Number 973-466-8754			
2. Name Carol Banks			
Registration Number 863-7	Job Title Vice President - SHBI	P	
Business Address Three Penn Plaza East, PP-16H	·		
City Newark		State NJ	Zip Code 07105-2200
*(Area Code) Telephone Number 973-466-8754			
3. Name Edward Mailander			
Registration Number 863-20	Job Title Vice President - Chie	f Actuary	
Business Address Three Penn Plaza East, PP-16H			
City Newark		State NJ	Zip Code 07105-2200
*(Area Code) Telephone Number 973-466-8754			
4. Name Robert Meehan			
Registration Number 863-13	Job Title Vice President - SHBI	P	
Business Address Three Penn Plaza East, PP-16H			
City Newark		State NJ	Zip Code <u>07105-2200</u>
*(Area Code) Telephone Number 973-466-8754			
*Leave this field blank if your telephone number is unlisted. Pursuant to $\underline{\mathbf{N}}_{\mathbf{c}}$	J.S.A. 47:1A-1.1, an unlisted telephone number is no	ot a public record and n	nust not be provided on this form.

2. Provide the following information regarding the Entity.	Governmental Affairs A	gent(s) retained or otherwise en	gaged by the Represented
1. Name of Agent or Firm Princeton Public A	Affairs Group		
Business Address 160 West State Street			
City Trenton		State NJ	Zip Code <u>08607</u>
*(Area Code) Telephone Number 609-396-88	38 Oc	cupation/Business Public Affai	rs/Lobbying
2. Name of Agent or Firm Sterns & Weinroth			
Business Address 50 West State Street			
City Trenton		State NJ	Zip Code <u>08608</u>
*(Area Code) Telephone Number 609-278-2	500 Occ	cupation/Business Lobbying	
	SCHEDULE	A	-
 Did any Governmental Affairs Agent named on p any independent State authority; any county improvement authority; any municipal utilities authority; any inter-State or bi-State authority as a r any board or commission established by Legislature, or by any Agency, Department 	nember from New Jerse statute or resolution, or	ey; or, by executive order of the Govern	nor, or by the
No If "no," continue on to the next que	 1	"yes," please provide the followi	ng information:
Name of Governmental Affairs Agent John 1	eyman		
Name of Authority, Board, or Commission State	Boat Regulation Co	nmission	
· · · · · · · · · · · · · · · · · · ·	ver appointment; te		
Name of Governmental Affairs Agent			
Name of Authority, Board, or Commission			
Date When Term of Service Expires			
Name of Governmental Affairs Agent			
Name of Authority, Board, or Commission			
Date When Term of Service Expires			
Name of Governmental Affairs Agent			
Name of Authority, Board, or Commission			
Date When Term of Service Expires			
Did the Governmental Affairs Agent(s) named on during the calendar year covered by this Annual I		all Notices of Representation and	l Quarterly Reports required
Yes If "yes," continue on to Schedule I	3. 🗆 No	If "no," please file the necessary	reports immediately.
*Leave this field blank if your telephone number is unlisted. Pursua	nt to <u>N.J.S.A.</u> 47:1A-1.1, an unliste	d telephone number is not a public record and	must not be provided on this form.

2. Provide the following information regarding the Governmental Affai Entity.	irs Agent(s) retained or otherwise er	ngaged by the Represented
Name of Agent or Firm Wolff & Samson		
Business Address One Boland Drive		
City West Orange	State NJ	Zip Code <u>07052</u>
*(Area Code) Telephone Number 973-325-1500	Occupation/Business Lobbying	
2. Name of Agent or Firm Donald Sico & Associates, LLC		
Business Address PO Box 147		
City Riverton	State NJ	<u>Z</u> ip Code <u>08077-0147</u>
*(Area Code) Telephone Number 856-314-8066	Occupation/Business Lobbying	
SCHEDU	LE A	
Name of Governmental Affairs Agent Name of Authority, Board, or Commission Date When Term of Service Expires Name of Governmental Affairs Agent Name of Authority, Board, or Commission Date When Term of Service Expires Name of Governmental Affairs Agent Name of Authority, Board, or Commission Date When Term of Service Expires	ersey; or, n, or by executive order of the Gover	ing information:
Name of Governmental Affairs Agent		
Name of Authority, Board, or Commission		
Data When Torm of Captica Expires		
2. Did the Governmental Affairs Agent(s) named on page 1, question 1 during the calendar year covered by this Annual Report?	file all Notices of Representation and	d Quarterly Reports required
Yes If "yes," continue on to Schedule B.	No If "no," please file the necessary	y reports immediately.
*Leave this field blank if your telephone number is unlisted. Pursuant to <u>N.J.S.A.</u> 47:1A-1.1, an u	nlisted telephone number is not a public record and	d must not be provided on this form.

Entity.	ental Affairs Agent(s) retained or otherwise engaged by the Represented
Name of Agent or Firm ADV Group LLC	
Business Address 11 York Street	
City Lambertville	State NJ Zip Code 08530
*(Area Code) Telephone Number 609-468-7633	Occupation/Business Lobbying
2. Name of Agent or Firm	
Business Address	
City	State Zip Code
*(Area Code) Telephone Number	Occupation/Business
S	CHEDULE A
 Did any Governmental Affairs Agent named on page 1, questony independent State authority; any county improvement authority; 	stion 1, serve as a member of:
 any municipal utilities authority; any inter-State or bi-State authority as a member fro any board or commission established by statute or r Legislature, or by any Agency, Department or other 	esolution, or by executive order of the Governor, or by the
No If "no," continue on to the next question.	Yes If "yes," please provide the following information:
Name of Governmental Affairs Agent	
Name of Authority, Board, or Commission	
Data When Torm of Caprica Eunirea	
Name of Governmental Affairs Agent	
Name of Authority, Board, or Commission	
Date When Term of Service Expires	
Name of Governmental Affairs Agent	
Name of Authority, Board, or Commission	
Date When Term of Service Evnires	
Name of Governmental Affairs Agent	
Data Whan Torm of Capies Eunives	
2. Did the Governmental Affairs Agent(s) named on page 1, qu during the calendar year covered by this Annual Report?	estion 1 file all Notices of Representation and Quarterly Reports required
Yes If "yes," continue on to Schedule B.	☐ No If "no," please file the necessary reports immediately.
*Leave this field blank if your telephone number is unlisted. Pursuant to <u>N.J.S.A.</u> 47	:1A-1.1, an unlisted telephone number is not a public record and must not be provided on this form.

SCHEDULE B - SALARY & COMPENSATION

PURPOSE: To report the salary and compensation paid by the Represented Entity to its Governmental Affairs Agent(s). Include the reimbursement of an Agent's expenses in amounts reported.

> 1. For the Governmental Affairs Agents who are employees of the Represented Entity named on page 1, question 1, please report the salary and other compensation paid. NOTE: Only the pro rata share of each employee's salary and compensation need be included if the employee spends only a portion of his/her time lobbying.

2. For the Governmental Affairs Agents named on page 2, question 2, who are retained or otherwise engaged by the Represented Entity, please provide the following information:

NAME OF PAYEE	LOBBYING PURPOSE	COMPENSATION
1. Princeton Public Affairs	Various Health Insurance Issues	\$ 39,395.00
2. Stearns & Weinwroth	Various Health Insurance Issues	60,000.00
3. ADV Group LLC	Various Health Insurance Issues	30,000.00
4. Donald Sico & Co. LLC	Various Health Insurance Issues	2,700.00
5. Wolff Samson	Various Health Insurance Issues	30,000.00
6.		
7.		

SCHEDULE C - SUPPORT PERSONNEL

PURPOSE: To report the costs of support personnel who, over the course of the reporting year, individually spend 450 or more hours supporting the activities of the Represented Entity or Governmental Affairs Agent(s).

After determining to which person(s) this applies, report the pro rata share of those costs which are attributable to supporting the activities of the Represented Entity or Governmental Affairs Agent(s) in influencing legislation, regulations, governmental processes, or communicating with the general public.

21,500	J.U(
2	1,500

SCHEDULE B TOTAL \$

Total \$ 162,095.00

332,195.00

SCHEDULES D-1 & D-2 - ASSESSMENTS, MEMBERSHIP FEES, OR DUES

Schedule D-1 - Specific Intent

PURPOSE: To report the amount of assessments, membership fees, or dues paid by the Represented Entity. If the assessments, membership fees, or dues were paid by the Represented Entity with the specific intent to influence legislation, regulations, governmental

processes, or to communicate with the general public, please provide the information below:

PART I - For assessments, membership fees, or dues exceeding \$100 for the calendar year:

DATE	PAYEE	DESCRIPTION (A,M, or D)	AMOUNT
		\$	
		Part I TOTAL \$	
PART II – For assessments, men	nbership fees, or dues \$100 or less for the calendar year:	Part II TOTAL \$	
	(Part I AND Part II)	Schedule D-1 TOTAL \$	

Schedule D-2 - Major Purpose

PURPOSE: To report the pro rata amount of assessments, membership fees, or dues paid by the Represented Entity. If the assessments, membership fees, or dues were paid by the Represented Entity to an entity whose major purpose is to influence legislation, regulations, governmental processes, or to communicate with the general public, and, was not reported on Schedule D-1, "Specific Intent," please provide the information below:

PART I - For assessments, membership fees, or dues exceeding \$100 for the calendar year:

AMOUNT		DESCRIPTION (A,M, or D)	PAYEE	DATE
38,500	\$	D	New Jersey Association of Health Plans	01-07-2010
	\perp			
38,500	\$	Part I TOTAL \$		
	\$	Part II TOTAL \$	ments, membership fees, or dues \$100 or less for the calendar year:	PART II – For assess
38,500	\$	t II) Schedule D-2 TOTAL \$	(Part I and Par	
38,500	\$	D Schedule D-2 TOTAL \$	Schedule D-1 AN	

SCHEDULE E - COMMUNICATION EXPENSES

PURPOSE: To report the costs of the preparation and distribution of materials related to influencing legislation, regulations, governmental processes, and conducting communications with the general public.

EXPENSE	A	MOUNT
Printed Materials	\$	160.00
Film, Slides, Video, Audio, TV, Radio, Other Broadcast Medium, including the Internet		
Postage		264.00
Telephone, Telegram, Facsimile		96.00
Pro Rata Overhead Costs of Specific Events Over \$100 (please identify name and date of event)		
Other (please describe)		
Strict (picuse describe)		
SCHEDIU F E TO		520.00

named on page 1, question 1, related to influencing legislation, regulations, governmental processes, or communicating with the general public.

NAME OF GOVERNMENTAL AFFAIRS AGENT		AMOUNT
John Leyman	\$	2,551.60
John Rogers		2,609.38
Nichole LoPresti		2,706.80
Stephanie Quinn		0.00
Linda Schwimmer		0.00
	SCHEDULE F TOTAL \$	9,672.01

	governmental processes, and conducting communications with the general public.	on, regulations,
EXPENSE		AMOUNT
Printed Mate	rials	\$
Film, Slides, \	Video, Audio, TV, Radio, Other Broadcast Medium, including the Internet	
Postage		
Telephone, T	elegram, Facsimile	
Pro Rata Ove	rhead Costs of Specific Events Over \$100 (please identify name and date of event)	
Other (please	e describe)	
_		
	SCHEDULE E TOTAL \$	
	SCHEDULE F - TRAVEL/LODGING To report the travel and lodging costs of the Governmental Affairs Agents who are employees of named on page 1, question 1, related to influencing legislation, regulations, governmental proce with the general public.	sses, or communicating
NAME OF	GOVERNMENTAL AFFAIRS AGENT	AMOUNT
David Perry	<u>'</u>	\$ 1,203.03
James Dale	ssio	0.00
Joanne Rya	n	0.00
Joseph Mar	nger	0.00
Carol Banks	5	0.00
	SCHEDULE F TOTAL \$	9,672.01

SCHEDULE E - COMMUNICATION EXPENSES

EXPENSE		AMOUNT
Printed Materi	als	\$
	deo, Audio, TV, Radio, Other Broadcast Medium, including the Internet	
Postage	deo, Addio, 19, Hadio, Other broadcast Mediam, medaling the internet	
	legram, Facsimile	
	nead Costs of Specific Events Over \$100 (please identify name and date of event)	
TTO Nata Overi	icad costs of specific Events over \$100 (picase lacinity hame and date of event)	
Other (please o	describe)	
		-
	SCHEDULE E TOTAL \$	
	SCHEDULE F - TRAVEL/LODGING To report the travel and lodging costs of the Governmental Affairs Agents who are employees of named on page 1, question 1, related to influencing legislation, regulations, governmental proce with the general public.	
NAME OF G	OVERNMENTAL AFFAIRS AGENT	AMOUNT
Edward Mail	ander	\$ 428.40
Robert Meeh	nan	172.80
	SCHEDULE F TOTAL \$	9,672.01

SCHEDULE E - COMMUNICATION EXPENSES

SCHEDULE G-1

ITEMIZATION OF BENEFITS WHICH EXCEEDED \$25 PER DAY OR \$200 PER CALENDAR YEAR TO STATE OFFICIALS AND THEIR IMMEDIATE FAMILY MEMBERS

PURPOSE: To report detailed information concerning benefits passed to State officials covered by the Act, as well as the immediate family members of these officials. If the value of a benefit exceeded \$25 per day or \$200 per calendar year, report below.

(Select one description item for each entry from the drop down list. When selecting "O - Other", enter a description in the space provided.)

Name of Benefit Recipient				
Date				Amount \$
Name and Address of Payee	e/Vendor			
If benefit was reimbursed, p Date	lease report the date, the description, ar	nd the amount of	the reimbursement	
Description				
Name of Benefit Recipient				
	Description			Amount \$
Name and Address of Payee	:/Vendor			
City		State	Zip Code	
If benefit was reimbursed, p Date	lease report the date, the description, ar Amount \$	nd the amount of -	the reimbursement	
Description				
Date				Amount \$
Name and Address of Payee Name				
			Zip Code	
	lease report the date, the description, an		the reimbursement.	
Date Description	Amount \$	-		
Name of Benefit Recipient _				
Date	Description		A	amount \$
Name and Address of Payee Name	/Vendor			
			Zip Code	
If benefit was reimbursed, pl Date	lease report the date, the description, an Amount \$	nd the amount of	the reimbursement.	

SHIMM	IARV	OF BENEFIT	DACCING
20 IAIIA	IANI	OF BEILEFIL	PASSIIL

PURPOSE: To report the total amount of providing benefits to State officials covered by the Act and their immediate family members.

	SCHEDULE G	-1* SCHEDULE G-2**	AMOUNT
Entertainment	\$	+\$	_ =\$
Food and Beverage		+	_ =
Travel		+	_ =
Lodging		+	_ =
Honoraria		+	_ =
Loans		+	_ =
Gifts		+	_ =
Other(specify)		+	_ =
Total	\$	+\$	_ =\$
			SCHEDULE G-1 AND

SCHEDULE G-2 TOTAL

ENTER THE TOTAL AMOUNT OF REIMBURSED BENEFITS, IF ANY. DO NOT DEDUCT THIS AMOUNT FROM BENEFIT PASSING AMOUNTS.

\$

SUMMARY OF LOBBYING EXPENDITURES

EXPENDITURES

1. Salary and Compensation (Add the total from	questions 1 & 2) Schedule B Total \$	332,195.00
2. Support Personnel	Schedule C Total	21,500.00
3. Assessments, Membership Fees, or Dues	Schedule D-1 and Schedule D-2 Total	38,500.00
4. Communication Expenses	Schedule E Total	520.00
5. Travel and Lodging	Schedule F Total	9,672.01
6. Benefit Passing	Schedule G-1 and Schedule G-2 Total	0.00
	Total Lobbying Expenditures \$	402,387.01

^{*} After completing all entries on Schedule G-1, provide totals by category.

^{**} Enter, by category, the value of benefit passing where the expenditure did NOT exceed the \$25/day or \$200/calendar year thresholds.

RECEIPTS TABLES 1 AND 2

Receipts Table 1 - Specific Intent

PURPOSE: To report the amount of contributions, loans, membership fees, dues, or assessments <u>received by the Represented Entity.</u>

If the contributions, loans, membership fees, dues, or assessments were received by the Represented Entity with the specific intent to influence legislation, regulations, governmental processes, or to communicate with the general public, please provide the information below:

			\$
			3
		Part I Total	\$
PART II - For contri ess for the calenda	ibutions, loans, membership fees, dues, o ar year:	or assessments \$100 or Part II Total	\$
		Receipts Table 1 Total (Part I and II)	\$
Receipts Table 2 -			
gulations, govern rovide the percen or each receipt, m	mental processes, or to communicate water	e Represented Entity whose major purpose is to influence with the general public, please provide the information be wing (this figure must be more than 50%): amount of the receipt to arrive at a net receipt amount. gate total. Receipts Table 2 Total \$	
eview each net re	ceipt amount. Any net receipt in excess	of \$100 should be listed below:	
DATE	SOURCE	ADDRESS	AMOUNT
			\$

CERTIFICATION		
This certification shall be signed by a Governmental Affairs Agent employ or Governmental Affairs Officer of the Represented Entity.	yed by the Represented Entity or a responsible Financial	
I, John Leyman		
(print name)		
hereby certify that I am duly authorized by Horizon Blue Cross Blue Shield of New Jersey		
(print name of Represented Entity)		
to file and certify the accuracy and correctness of this Annual Report of Lorentify that the statements made herein are true and accurate. I am awar willfully false, I may be subject to punishment.		