



DESIGNATION OF GOVERNMENTAL AFFAIRS AGENT

NEW JERSEY ELECTION LAW ENFORCEMENT COMMISSION
P.O. Box 185, Trenton, NJ 08625-0185
(609) 292-8700 or Toll Free Within NJ 1-888-313-ELEC (3532)
Website: www.elec.state.nj.us

FORM L-2 Reporting For Calendar Year 2009

ELEC RECEIVED

FEB 16 2010

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Amendment []

Name of Represented Entity Securities Industry and Financial Markets Association

Business Address 120 Broadway, 35th Floor

City New York State NY Zip Code 10271

*(Area Code) Telephone Number (212) 313-1233

The above named Represented Entity hereby designates the following Governmental Affairs Agent, or Governmental Affairs Agent Firm, employed or otherwise engaged by the Represented Entity, to file on its behalf the Annual Report of Lobbying Activity covering calendar year 2009 with the Election Law Enforcement Commission.

Name of Governmental Affairs Agent or Governmental Affairs Agent Firm Riker Danzig Scherer Hyland & Perretti LLP

Business Address 50 West State Street, Suite 1010

City Trenton State NJ Zip Code 08608

This designation further represents a statement by the Represented Entity that the only reportable expenditures made by the Represented Entity were to the Governmental Affairs Agent or Governmental Affairs Agent Firm herein designated.

It is understood that any violation of the Act, N.J.S.A. 52:13C-18 et seq. or the regulations promulgated thereunder, shall subject both the Represented Entity and designated Governmental Affairs Agent or Governmental Affairs Agent Firm to the penalties provided by law.

Acknowledged:

Riker Danzig Scherer Hyland & Perretti LLP
Name of Governmental Affairs Agent or Governmental Affairs Agent Firm

Securities Industry & Financial Markets Association
Print Full Name of Represented Entity

By: Mary Kathryn Roberts
Print Full Name

By: Nancy Lancia
Print Full Name/Title Managing Dir.

Signature [Handwritten Signature]

Signature [Handwritten Signature]

Date 1-25-10

Date 1/21/10